

# How moral values influence conservation: a framework to capture different management perspectives

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28 **Abstract**

29

30 Perspectives in conservation can be based on a variety of value systems and normative  
31 postulates. Perspectives also vary between cultures. Such differences in what and how people  
32 value nature, underlie many disagreements and conflicts during the formulation and  
33 implementation of environmental management policies. Specifically, whether an action intended  
34 to promote conservation (e.g. killing cats to save birds threatened with extinction) is viewed as  
35 moral can vary among people who hold different value systems. Here, we present a conceptual  
36 framework that mathematically formalises the interplay of value systems. We argue that this  
37 framework provides a heuristic tool to clarify normative postulates in conservation approaches,  
38 and highlights how different value systems might rank various management options differently.  
39 We illustrate this by applying the framework to specific cases involving invasive alien species,  
40 rewilding, and trophy hunting; and comparing how management decisions would likely be  
41 viewed under different idealised value systems (ecocentric conservation, new conservation, and  
42 compassionate conservation). By making value systems and their consequences in practice  
43 explicit, the framework can facilitate debates on contested conservation issues, and, we hope,  
44 will ultimately provide insights into how conflicts in conservation can be reduced.

45

46

47 **Keywords:** environmental management; impact; invasive alien species; moral values; rewilding;  
48 speciesism; trophy hunting

49

## 50 INTRODUCTION

51

52 The consideration of the moral relationship between humans and nature and the consequent  
53 ethical obligations for conservation is relatively recent in Western culture. Environmental ethics  
54 only emerged as an academic discipline in the 1970s (Brennan & Lo, 2016) and the concepts of  
55 values and duty are now increasingly appreciated in applied ecology and conservation (e.g. Díaz  
56 et al. 2018). Respecting standards of animal ethics is now mandatory in scientific research, and  
57 most ecological journals now include an ethics statement on animal welfare. The development  
58 and application of clear guidelines to ensure animal welfare in conservation practice is similarly  
59 becoming more standard, although there is still room for improvement (Dubois et al., 2017).  
60 Despite these advances, the formulation and implementation of environmental management  
61 policies, usually aimed at conserving biological diversity and the services it provides, is,  
62 however, often associated with conflicts between different groups of stakeholders and between  
63 people with different values and interests (Crowley, Hinchliffe, & McDonald, 2017; Redpath et  
64 al., 2013). This is because any environmental management decision is shaped by the moral value  
65 system of the assessor, and different systems may advocate different management actions for the  
66 same issue, depending on the elements of the systems that are affected. An examination of how  
67 value systems could be explicitly accounted for in decision making could offer opportunities for  
68 better identifying conflicts, potentially helping to resolve them, and overall improve  
69 environmental management.

70

71 Value systems vary, and include: anthropocentric views that value human beings above  
72 everything else; sentientist views that value all sentient beings; biocentric views that value all  
73 biota (including bacteria, fungi and plants); and ecocentric views that value ecosystems (Rolston  
74 III, 2003). Each value system rests upon a set of explicit or implicit normative postulates (Table  
75 1). Because these postulates are considered as truths, they are, as it were, non-negotiable.  
76 Therefore, if the truths of different value systems come into conflict, it is hard for a resolution to  
77 be found, and so conservationists who value biodiversity *per se* [as defined initially by Soulé  
78 (1985), called hereafter ‘traditional conservation’ (Table 1)] can be at odds with those who value  
79 biodiversity based on human welfare and economic aspects [‘new conservation’ (Kareiva &  
80 Marvier, 2012)] (Doak, Bakker, Goldstein, & Hale, 2015; Kareiva, 2014; M. Soulé, 2014).

81 Similarly, a recent suite of articles illustrates different perspectives on the concept of  
82 ‘compassionate conservation’ for animal welfare (Driscoll & Watson, 2019; Hayward et al.,  
83 2019; Wallach, Bekoff, Batavia, Nelson, & Ramp, 2018), and conflicts have arisen between  
84 different groups of stakeholders whose members share common moral values, involved in  
85 deciding how to manage invasive alien species (Crowley et al., 2017).

86  
87 In the following, our aim is to conceptualize and decompose different value systems in an  
88 explicitly fashion and to explore their repercussions for the perception of conservation  
89 management actions. First, we recapitulate some archetypal value systems in environmental  
90 affairs and relate them to different conservation philosophies, including traditional conservation  
91 (M. E. Soulé, 1985), new conservation (Kareiva, 2014; Kareiva & Marvier, 2012),  
92 compassionate conservation (Wallach et al., 2018) and the four views of nature and conservation  
93 described in Mace (2014) (Table 1). Since identifying commonalities in the perspectives of  
94 different parties is key in conflict management (Redpath et al., 2013), we then introduce a formal  
95 framework to conceptualise these value systems, and examine how this framework can be  
96 applied to clarify different perspectives on specific cases. Finally, we discuss opportunities for  
97 identifying commonalities between different value systems that may enable identifying widely  
98 acceptable solutions to otherwise polarising issues.

99  
100 Many different value systems of nature are held by people around the world (Díaz et al., 2018).  
101 Here, we will focus on a Western perspective of value systems that have been internationally  
102 considered for policies and the management of nature (e.g. Mace 2014). The archetypes of value  
103 systems and of conservation approaches were chosen for their importance in the past and present  
104 literature and their clear differences, to illustrate our framework. We acknowledge this is a small  
105 part of the global diversity of value systems. It would be interesting to see if the proposed  
106 framework could be usefully applied to other contexts, and identify limitations of the approach  
107 outlined here.

108

## 109 **ENVIRONMENTAL ETHICS AND CONSERVATION**

110

111 **From the valuation of humans to that of ecosystems: a complex spectrum of**  
112 **perspectives.**

113

114 The Western perspective of moral valuation encompasses a diverse set of value systems with  
115 respect to the components of nature. Traditionally, one can distinguish at least four archetypal  
116 value systems: anthropocentrism, sentientism, biocentrism, and ecocentrism (Palmer, McShane,  
117 & Sandler, 2014; Rolston III, 2003) (Table 1; Figure 1).

118

119 Anthropocentrism values nature by the benefits it brings to people through ecosystem services  
120 and more inclusively, nature's contribution to people, which encompasses biological, economic  
121 and cultural benefits humans can derive from nature (Díaz et al., 2018). One justification for  
122 anthropocentrism is that humans are (arguably) the only self-reflective moral beings, and people  
123 are therefore both the subject and object of ethics (Rolston III, 2003), therefore constituting the  
124 moral community (Table 1). In an anthropocentric system, individuals from non-human species  
125 only have value based on their benefits for humans (instrumental or non-instrumental).

126

127 Sentientism, in contrast, considers that not only sentient humans, but also other sentient animals  
128 value their life, and experience pleasure, pain, and suffering. In this view, it is the sentience ( e.g.  
129 measured through cognitive ability, Singer 2009), rather than species themselves, that has  
130 intrinsic value. Sentient individuals should therefore also be part of the moral community (i.e.  
131 have an intrinsic value).

132

133 Biocentrism considers that life has intrinsic value. Although different perspectives on why life  
134 has value exist (see e.g. Taylor 2011), living organisms are valued for being alive, and not  
135 differently based on other specific characteristics.

136

137 Some ecocentric, or holistic, value systems consider that ecological collectives, such as species  
138 or ecosystems, have intrinsic value, independently from the individuals that comprise them.  
139 Species can have different values, i.e. speciesism (Table 1), and these values can be influenced  
140 by a multitude of factors, discussed in more details below.

141

142 In practice, the separation between these different normative approaches of environmental ethics  
143 is blurry, and values given to different species may vary under the same general approach. For  
144 example, biocentrism can range from complete egalitarianism between organisms, i.e.  
145 universalism (Table 1), to a graduation in value resembling sentientism. In addition, humans  
146 rarely follow a specific system objectively. Their attribution of values to individuals from  
147 different species can be deeply embedded in individual psychologies (Palmer et al., 2014; Waytz,  
148 Iyer, Young, Haidt, & Graham, 2019). Further, values and personal interests interact in making  
149 and expressing environmental moral judgements (Essl et al., 2017). Thus, the archetypes of value  
150 systems presented above rarely occur in a clear and obvious fashion in individual humans.

151

### 152 **Moral valuation and the management of nature.**

153

154 Conservation practices can historically be divided into three main categories. At one extreme, a  
155 ‘nature for itself’ (Table 1) view mostly excludes humans from the assessment of the efficacy of  
156 conservation management actions. This ecocentric perspective is the foundation of traditional  
157 conservation as defined by Soulé (1985), and relies on the four following normative postulates:  
158 “diversity of organisms is good,” “ecological complexity is good,” “evolution is good,” and  
159 “biotic diversity has intrinsic value” (Soulé 1985). It historically underlies widely-used  
160 conservation tools, like the IUCN Red List of Threatened Species (IUCN, 2019). Ecocentrism is  
161 often not limited to the valuation of species, but can encompass wider collectives, i.e.  
162 assemblages of species and functions, or ecosystems. This perspective is captured, for example,  
163 by the IUCN Red List of Ecosystems (IUCN-CEM, 2016), and it is strongly reflected in  
164 international conservation-legislation such as the Convention on Biological Diversity (UNEP  
165 CBD, 2010). In the following we refer to traditional conservation as an ecocentric value system  
166 where species are intrinsically valuable (nature for itself; Figure 1) and humans are mostly  
167 excluded from management. We acknowledge that this is an archetypal view of traditional  
168 conservation, and is used here simply for illustrative purposes.

169

170 By contrast the ‘nature for people’ perspective (Mace, 2014) values species and ecosystems only  
171 to the extent that they contribute to the well-being of humans. These values encompass  
172 ecosystem services that help sustain human life (Bolund & Hunhammar, 1999) or economic

173 assets (Fisher et al., 2008), and can rely on the assessment of species and ecosystem services in  
174 terms of their economic value (Costanza et al., 1997). The anthropocentric ‘nature for people’  
175 perspective is exemplified by ‘new conservation’, also termed ‘social conservation’ (Kareiva,  
176 2014; Miller, Minter, & Malan, 2011) (Table 1). It has been argued that such an anthropocentric  
177 perspective will, by extension, help and even be necessary to conserve the aspects of nature that  
178 contribute to wellbeing. The exact set of normative postulates proposed by the proponents of new  
179 conservation is nonetheless not always clearly defined, and is likely to be interpreted differently  
180 by different people, as shown by an exchange of criticisms and responses published in the recent  
181 years (Doak et al., 2015; Kareiva, 2014; Kareiva & Marvier, 2012; M. Soulé, 2014). The need  
182 for further clarifications of the normative postulates of new conservation approaches has  
183 therefore been advocated (Miller et al., 2011).

184  
185 More recently, these approaches have expanded to consider nature’s contribution rather than  
186 services to people, by incorporating context-specific local and cultural knowledge into  
187 assessments and the design of conservation actions (Díaz et al., 2018). This perspective spans  
188 from a still largely anthropocentric perspective to a subtle and complex perspective on nature  
189 management, termed ‘people and nature’ (Mace, 2014). This view acknowledges the fact that  
190 anthropocentric aspects and traditional biodiversity conservation goals based on nature’s intrinsic  
191 value are not independent but influence each other, and can go as far as considering that humans  
192 and non-human entities have reciprocal obligations (Díaz et al., 2018). The necessity to account  
193 for the interdependence between the health of nature and human wellbeing is also advocated in  
194 the United Nations Sustainable Development Goals (Weitz, Carlsen, Nilsson, & Skånberg,  
195 2018). Similarly, “nature-based solutions” is an approach endorsed by the IUCN, which aims at  
196 protecting, sustainably managing, and restoring natural or modified ecosystems, to address  
197 societal challenges effectively and adaptively, simultaneously providing human well-being and  
198 biodiversity benefits (Cohen-Shacham, Walters, Janzen, & Maginnis, 2016). The difference  
199 compared to new conservation approaches therefore lies in the fact that it simultaneously fits  
200 within the anthropocentric and ecocentric systems, rather than considering that the latter will be  
201 addressed by focusing on the former (see Section “Nature despite/for/and people” below for  
202 details).

203



204 Finally, a recently developed approach, coined ‘compassionate conservation’ (Table 1; Ramp and  
205 Bekoff 2015, Wallach et al. 2018), advocates for environmental management actions that do not  
206 inflict suffering for sentient animals, as a manifestation of virtue by humans (Table 1 and section  
207 5 below). Although they acknowledge the value of all wildlife individuals and collectives,  
208 compassionate conservationists reject notions of collectivism and nativism (Table 1).

209

## 210 **FRAMING MORAL VALUES FOR CONSERVATION**

211

212 Many of the debates in conservation are grounded in different world views in which elements of  
213 the four archetypes presented above may be mixed and influenced by cultural norms, economic  
214 incentives etc. in a way that is rarely clearly reflected (Essl et al., 2017). Here we propose that  
215 conceptualising different world views, using mathematical formulation, provides one method to  
216 clarify moral discourses in conservation by making the underlying value systems and their  
217 normative postulates transparent to all participants of the discourse. Such clarification should  
218 help identify and facilitate the discussion of shared values and incompatibilities between  
219 different environmental policies and management options (Miller et al., 2011). In a similar vein,  
220 Parker et al. (1999) proposed a mathematical framework for assessing the environmental impacts  
221 of alien species. This work was highly influential in the conceptualisation of biological invasions  
222 (being cited more than 1,900 times until May 2020 according to Google Scholar), and more  
223 recent developments on the conceptualisation of the impact of invasive alien species are  
224 illustrated by semi-quantitative risk assessment schemes that take into account – and make  
225 explicit – quantifiable impacts, uncertainty, and normative dimensions (e.g. Blackburn et al.  
226 2014, Bacher et al. 2018).

227

228 Aside from the value systems described above, two main normative theories are relevant to  
229 decision making in environmental management: consequentialism and deontology.

230 Consequentialism aims at choosing an action to optimise an objective function, which can be  
231 determined based on a given value system. In other words, consequentialism aims at maximising  
232 the ‘greater good’ (e.g. maximising the average wellbeing of people). In contrast, deontology  
233 considers that some actions are intrinsically morally wrong or right based on specific criteria,  
234 and that decisions should be based on the moral status of actions. These often come into conflict,



235 e.g. is it acceptable to hurt a few to improve the wellbeing of many. In practice, both theories  
236 have their limitations, and a combination of both can offer a solution to complex situations  
237 (Alexander & Moore, 2016). A third normative theory is virtue ethics, which emphasises the role  
238 of virtue or moral character to make a decision. The distinction with the other two theories is  
239 nonetheless less clear, as virtue is also a common concern in consequentialist and deontological  
240 perspectives (Nussbaum, 1999; Varner, 2008).

241  
242 Here, we propose a mathematical formalisation approach to conceptualise the appropriateness of  
243 environmental management actions, decomposed into the consequences and morality of an  
244 action, and argue that it can account for different value systems, including the anthropocentric,  
245 sentientist, biocentric and species-based ecocentric systems (see Appendix S1 for an extension to  
246 ecocentrism beyond species and considering wider collectives, i.e. ecosystems), while also  
247 accounting for cultural and personal perspectives. We believe that while formalising the different  
248 approaches of environmental ethics has specific limitations (discussed below), it is useful for  
249 practical and theoretical reasons, as it allows to clarify normative postulates, and to evaluate  
250 differences in the moral implications of alternative decisions. From a consequentialist  
251 perspective, a formalisation approach must combine both the impact of an action on the different  
252 species or individuals involved and the value given to said species and individuals under  
253 different value systems. Consequentialism can then be combined with considerations about the  
254 morality of actions.

255  
256 The general Equation 1 provides the core principles for a mathematical formalisation of  
257 environmental ethics upon which more complex perspectives can be built, as we demonstrate  
258 below. The appropriateness of the management action ( $A$ ) under a given value system, sought to  
259 be maximized, can be defined as:

$$261 \quad A = \frac{M}{C} = \frac{\Pi(M_1, \dots, M_n)}{\sum_{species} \bar{I}_s \times V_s \times N_s^a} \quad \text{Eq.1}$$

262  
263 where  $M$  is its morality (using  $M_1, \dots, M_n$  to assess morality based on multiple criteria, see below),  
264 and  $C$  represents its consequences.  $C$  is a function of the following parameters.  $\bar{I}_s$  is a function  
265 (e.g. mean, maximum, etc.) of the impact (direct and indirect) resulting from the management

266 action on all individuals of species  $s$ ,  $V_s$  is the inherent value attributed to an individual of  
267 species  $s$  under a value system (objective or subjective),  $N_s$  is the abundance of species  $s$ , and  $a$   
268 determines the importance given to a species based on its abundance or rarity (and enables to  
269 account for the importance of a species rather than an individual, see below). The unit of  $A$   
270 depends on how other parameters are defined, which themselves depend on the value system  
271 considered.

272  
273 The parameter  $a$  can take both positive and negative values. A value of 1 would mean that the  
274 same importance is given to all individuals in the moral community (Table 1) and be typical of  
275 individual-centred value systems, i.e. anthropocentrism, sentientism, and biocentrism. As a  
276 result, the more individuals in a population, the more the population would weigh on the  
277 outcome. As  $a$  decreases towards 0, the correlation between the value of a species and its  
278 abundance decreases. For  $a = 0$ , the consequence of a management action becomes abundance-  
279 independent.  $a = 0$  therefore allows for an assessment of the appropriateness of management  
280 with respect to species, rather than to individuals, which corresponds to ecocentrism. For  $a < 0$ ,  
281 rare species would be valued higher than common species (or the same impact would be  
282 considered to be higher for rare species), for example due to the higher risk of them going  
283 extinct. The lower the value of  $a$ , the more species rarity influences the outcome. Under complex  
284 perspectives,  $a$  may differ depending on the species (see section “Conceptualising traditional and  
285 new conservation” below for an example).  $a > 1$  would give a disproportionate weight to  
286 abundant species, which are often important for providing ecosystem services (Gaston, 2010).

287  
288 The impact  $I$  as defined in this framework (see Table 1) can vary depending on the local context  
289 (and the cultural context for humans). It can be limited to the death of individuals or the  
290 extinction of species (for  $a = 0$ ), but also to animal welfare, biophysical states, etc.  $I$   
291 encompasses both the direct impact of a management action, and its indirect impact resulting  
292 from biotic interactions. One would therefore need to define a baseline corresponding to: 1) the  
293 lowest possible measurable level of impact (e.g. being alive if death is the only measure of  
294 impact, or no sign of disease and starvation for biophysical states; this would obviously be more  
295 complicated for welfare), so that  $I$  would only be positive; and 2) the duration over which to  
296 measure such impact. The exact quantification of impact will also be influenced by different

297 value systems and personal subjectivity, as some impacts may be considered incommensurable  
298 (Essl et al., 2017). Which impacts are incommensurable may depend on the value system (e.g.  
299 death of non-human sentient individuals vs. human health; Table 2). The range of values for  $I$   
300 would therefore need to be established, for example using a grading system (see section 7 for  
301 some discussions on this issue). The average impact  $\bar{I}_s$  could be used as a measure at the species-  
302 level, as different individuals may experience different impacts, if the management action targets  
303 only part of a given population, for example. Using the average impact is not without  
304 shortcomings though, and other measures such as the maximum impact experienced by  
305 individuals, or more complex functions accounting for the variability of impacts and values  
306 across individuals of a same species, and of different types of impact, may be used.

307  
308 The inherent value  $V_s$  accounts for the fact that individuals of different species would have  
309 different values under different value systems, i.e. speciesism (Table 1). In mathematical terms,  
310 different value systems will be characterised by different distributions of  $V_s$  (Figure 1). The  
311 inherent value given to an individual from a particular species by a person or a group of persons  
312 will be influenced by its intrinsic value as defined by a value system, but will also likely be  
313 influenced by many other subjective factors. These factors include, for example, charisma  
314 (Courchamp et al., 2018; Jarić et al., 2020), anthropomorphism (Tam, Lee, & Chao, 2013),  
315 organismic complexity (Proença, Pereira, & Vicente, 2008), neoteny (Stokes, 2007), cultural  
316 importance (Garibaldi & Turner, 2004), religion (Bhagwat, Dudley, & Harrop, 2011), or  
317 parochialism (Waytz et al., 2019) (Table 1). Inherent values are therefore subjective and likely to  
318 vary in time and across locations, and depend not only on the characteristics of the species but  
319 also on those of the assessor. For example, some alien species that did not have any value prior to  
320 their introduction have been incorporated in local cultures, therefore providing them a novel and  
321 higher inherent value such as horses being linked to a strong local cultural identity in some parts  
322 of the USA (Rikoon, 2006). Note that we distinguish between the inherent value given to a  
323 species here, which is captured by  $V_s$  and is determined by value systems described above and  
324 the assessor's subjectivity, and the utilitarian value that a species has due to its impact, through  
325 exploitation or biotic interactions, on the species with intrinsic values. The utilitarian value is  
326 accounted for by  $\bar{I}_s$  (Figure 1), because a management action can change the impact a species has

327 on another, therefore representing the indirect impact of a management action, as explained  
328 above.

329  
330 Many factors can therefore influence the assessment of the  $\bar{I}_s$  and  $V_s$  variables (see Table 2 for a  
331 list of important factors, and section “Unresolved questions and limitations” below for some  
332 discussions on these aspects). For example, the indirect impact of a management action on a  
333 multitude of species resulting from complex biotic interactions is difficult to precisely  
334 understand and quantify. Concepts such as keystone species (Mills, Soulé, & Doak, 1993) can  
335 then offer a convenient way to overcome such complexity by modifying  $V_s$  rather than  $\bar{I}_s$ . Let us  
336 assume that a management action will have a direct impact on a keystone species, which will  
337 result in indirect impacts on multiple other species with inherent values. Increasing the value of  
338 the keynote species can result in the same assessment of  $C$  as to explicitly model the biotic  
339 interactions and compute the resulting indirect impacts  $\bar{I}_s$ .

340  
341 Finally, the morality  $M$  of an action can vary between 0 and 1, where 0 would mean that an  
342 action is morally unacceptable, and 1 would indicate a fully acceptable (moral) action.  $M$  may  
343 take intermediate values between 0 and 1: for example, although killing an animal can be  
344 considered morally problematic (i.e.  $M < 1$ ), it might be acceptable in some situations (i.e.  
345  $M > 0$ ), but killing an animal using a painful poison would likely be deemed less moral than  
346 killing an animal using a non-painful method. If multiple criteria are used or different actions are  
347 implemented, the product  $\prod(M_1, \dots, M_n)$  for all criteria and actions can be used, as it would result  
348 in 0 if one criterion is not fulfilled or one action is immoral.  $M$  can also be defined to change  
349 depending on appropriateness thresholds (Alexander & Moore, 2016), i.e. account for the limits  
350 of basing a decision on the morality of an action if the consequences are too costly. In this  
351 situation,  $M$  can be increased if no management action produces an appropriateness  $A$  over an  
352 acceptable threshold (the value of the threshold depends on the specific case and chosen measure  
353 of impact  $I$ ). The number of criteria to determine the morality of different actions will be  
354 extremely context- and user-dependent, and it would be difficult to provide general insights in  
355 the context of this manuscript. In the following, we will therefore focus mostly on a  
356 consequentialist perspective.

357

358 Note that Equation 1 does not produce absolute, but relative values. That is, the appropriateness  
359  $A$  of a management action under different value systems cannot be directly compared with each  
360 other. This is because the unit and range of values of  $C$  and  $M$  can vary between value systems,  
361 as they may consider different measures of impact. Instead, Equation 1 can be used to rank a set  
362 of management actions (including non-action, which can be used as a baseline) for each value  
363 system based on their assessed appropriateness, to identify management actions representing  
364 consensus, compromises or conflicts amongst value systems. In the following, we show how,  
365 even despite the difficulty to quantify the variables described above, this framework can be used  
366 as a heuristic tool to capture the implications of considering different value systems for  
367 determining the appropriateness of a conservation action, and to better understand conservation  
368 disputes.

369

## 370 **NATURE DESPITE/FOR/AND PEOPLE**

371

372 Over the past decade there has been some debate between proponents of traditional conservation,  
373 and those of new conservation (Table 1), as each group assumes different relationships between  
374 nature and people. Here, we show how the formal conceptualisation of Equation 1 could help  
375 clarifying the position of the new conservation approach in response to its criticisms (Kareiva,  
376 2014).

377

378 As an ecocentric value system, in traditional conservation, consequences  $C$  in the general  
379 Equation 1 can be expressed as follows:

380

$$381 \quad C = \sum_{\text{species } s \text{ (excluding humans)}} \bar{I}_s \times V_s \times N_s^{a < 0} \quad \text{Eq. 2}$$

382

383 The traditional conservation in Equation 2 emphasises that “diversity of organisms is good” and  
384 that “biotic diversity has intrinsic value” (M. E. Soulé, 1985). Here, we propose to assign a  
385 stronger weight to rare species, indicated by the parameter  $a < 0$ , to account for the fact that rare  
386 species are more likely to go extinct, which should result in high consequences under  
387 ecocentrism because it would decrease the “diversity of organisms”. Evolution is not explicitly  
388 accounted for in the framework, but emphasising the importance of rare species should lead to

389 fewer extinctions and may increase the chance of species with high evolutionary potential to  
390 remain within the species pool. Ecological complexity is not explicitly considered either, but  
391 could be accounted for by attributing higher values to species with rare traits and specific  
392 functional roles.

393

394 In contrast, new conservation considers that maximising appropriateness under an  
395 anthropocentric value system, i.e. following a nature for people approach in which non-human  
396 species and collectives are only considered to have a utilitarian value, will also increase  
397 appropriateness under ecocentrism. New conservation considers that stakeholders tend to have an  
398 anthropocentric value system, and that conservation approaches that do not incorporate such a  
399 perspective will likely not succeed (Kareiva, 2014; Kareiva & Marvier, 2012). Therefore,  
400 although the aim of new conservation appears to be similar to traditional conservation  
401 approaches, its literal application follows anthropocentric principles.

402

403 Maximising appropriateness under an anthropocentric view implies that Equation 1 should be  
404 modified to only incorporate humans. Species are only conserved due to their utilitarian value,  
405 i.e. their effect on  $I$  for humans, rather than based on an inherent value  $V$ . Different groups of  
406 stakeholders are nonetheless likely to be impacted differently (e.g. monetary benefits / losses vs.  
407 changes in access to nature, accounting for cultural differences, etc.), and we propose the  
408 following extension of Equation 1 to account for this variability:

409

$$410 \quad C = \sum_{\text{stakeholders } t} \bar{I}_t \times V_t \times N_t \quad \text{Eq.3}$$

411

412 where  $\bar{I}_t$  is the average impact of management on the group of stakeholders  $t$ , including indirect  
413 impacts through the effect of management of non-human species,  $V_t$  is the value of the group of  
414 stakeholders  $t$ , and  $N_t$  is its abundance. Note that including inherent values  $V_t$  in Equation 3 does  
415 not imply that we consider that different humans should be valued differently, but that is a view  
416 that some people have, and this needs to appear here to capture the full spectrum of perceived  
417 consequences of a management action.

418

419 The assumption of new conservation is that a management action that minimizes consequences  
420  $C$  in the new conservation Equations 3 will also decrease  $C$  in the traditional conservation  
421 Equations 2. This assumption relies on the developments of functional ecology and its  
422 integration with community ecology over the last few decades (Loreau, 2010; Mace, 2014).  
423 Especially, the link between biodiversity and ecosystem services has been demonstrated, even if  
424 many unknowns remain (Cardinale et al., 2012; Chivian & Bernstein, 2008), implying that high  
425 biodiversity can support the provision of ecosystem services to humans. Nonetheless, such an  
426 approach will necessarily distinguish between “useful” species and others, and impacts will be  
427 perceived differently by different groups of stakeholders. This assumption is therefore likely to  
428 be context dependent.

429  
430 In contrast, by seeking simultaneous human well-being and biodiversity benefits, people and  
431 nature approaches combine all these aspects into a single equation as follows, capturing a more  
432 diverse set of value systems than Equations 2 and 3 alone:

433  
434 
$$C = \sum_{\text{stakeholders } t} \bar{I}_t \times V_t \times N_t + \sum_{\text{species } s (\text{excluding humans})} \bar{I}_s \times V_s \times N_s^{a < 0} \quad \text{Eq. 4}$$

435  
436 Different concepts that may be difficult to directly compare in a quantified fashion are combined  
437 in the people and nature Equation 4, such as economic benefits / losses and human well-being.  
438 This is especially true when local cultural values are considered in assessments of the impact of  
439 management actions on humans, as in the most recent developments of new conservation  
440 approaches (Díaz et al., 2018). Equation 4 is therefore intended to be taken conceptually. In  
441 practice, the different terms may be considered independently, and approaches such as multi-  
442 criteria decision analyses (Huang, Keisler, & Linkov, 2011) may be used instead.

443  
444 Note that we considered two extreme interpretations of traditional and new conservation, as  
445 illustrated by Equations 2 and 3, excluding either humans or non-human species. The exclusion  
446 of humans from Equation 2 corresponds to an extreme perspective of traditional conservation,  
447 championed by ‘fortress conservation’ (Büscher, 2016; Siurua, 2006). Similarly, new  
448 conservation is defined as purely anthropocentric in Equation 3, which is an argument of its  
449 detractors (e.g. Soulé 2014). In response to these criticisms, Kareiva (2014) explains that the



450 purpose of new conservation is not to replace traditional conservation by an anthropocentric  
451 perspective that would use economic success as a measure of achievement (i.e. instead of  $C$  in  
452 equation 1 and 3). Similarly, some may argue that traditional conservation is not restricted to  
453 fortress conservation. Equations 2 and 3 nonetheless clearly show how failing to explicitly define  
454 normative postulates for conservation approaches can lead to extreme interpretations and  
455 conflicts and to overlook nuances between perspectives. In particular, it has been argued that the  
456 normative postulates of new conservation need to be more explicitly defined (Miller et al., 2011).  
457 Our framework could help doing so, by being more explicit about how new conservation would  
458 be defined relative to the traditional conservation and the people and nature perspective in  
459 Equations 3 and 4.

460

## 461 **THE CASE OF ANIMAL WELFARE**

462

463 The question of integrating animal welfare into conservation practice (and of how to integrate it),  
464 i.e. considering a sentientist value system, is subject to debate. For example, compassionate  
465 conservation, as defined by Wallach et al. (2018) (Table 1), emphasises animal welfare and is  
466 based on the “growing recognition of the intrinsic value of conscious and sentient animals”. It  
467 stipulates that “we need a conservation ethic that incorporates the protection of other animals as  
468 individuals, not just as members of populations of species but valued in their own right” (Ramp  
469 & Bekoff, 2015). Compassionate conservation for example opposes the killing of sentient  
470 invasive alien species such as cats and camels in Australia; the killing of native species predated  
471 on endangered species, such as wolves on caribou in Canada; or the killing of specific  
472 individuals to fund broader conservation goals, i.e. trophy hunting (Wallach et al., 2018).

473

474 Despite the near-universal support of conservation practitioners and scientists for compassion  
475 towards wildlife and ensuring animal welfare (e.g. Russell et al. 2016, Oommen et al. 2019,  
476 Hayward et al. 2019), the concept of compassionate conservation as presented by Wallach et al.  
477 (2018) has sparked vigorous responses (Driscoll & Watson, 2019; Hayward et al., 2019;  
478 Oommen et al., 2019). Amongst the main criticisms of compassionate conservation is that the  
479 absence of action, for example to control animal populations, can result in unintended  
480 detrimental effects and increased suffering for individuals of other or the same species (including

481 humans), as a result of altered biotic interactions across multiple trophic levels, i.e. “not doing  
482 anything” is an active choice that has consequences (Table 2). For example, not culling  
483 individuals may result in greater numbers dying of diseases or hunger caused by over-population  
484 (e.g. ICMO2 2010), and not controlling populations of predators may result in the extirpation of  
485 prey populations. The number of individuals dying over time may be larger in the absence of  
486 action, which would result in direr consequences under our framework. Another argument is the  
487 inconsistency in the (subjective) moral valuation of individuals from different species and  
488 taxonomic groups (i.e. inconsistencies in the distribution of inherent values  $V$ ) (Hayward et al.,  
489 2019; Oommen et al., 2019). Finally, some authors have pointed out the lack of clarity of the  
490 approach when facing complex situations with multiple conflicting perspectives (Rohwer &  
491 Marris, 2019).

492  
493 Compassionate conservation is defined through the lens of virtue ethics (Wallach et al., 2018).  
494 The lack of a clear boundary between virtue ethics and consequentialism or deontology may  
495 explain some lack of clarity in the normative foundations of compassionate conservation. To  
496 avoid such ambiguities, in the following, we propose to discuss animal welfare from the  
497 perspective of consequentialism and morality, as captured by the general Equation 1, and we  
498 show how this approach may be coherent with the perspective of compassionate conservation, or  
499 how it may highlight the need for clarifications.

500

### 501 **A mathematical conceptualisation of animal welfare.**

502

503 A consequentialist, sentientist perspective aims at maximizing happiness, or conversely  
504 minimising suffering, for all sentient beings, an approach also termed ‘utilitarianism’ (Singer,  
505 1980; Varner, 2008). That is, suffering is considered as a measure of impact (or, in mathematical  
506 terms, impact is a function of suffering, which can be expressed as  $I(S)$  in Equation 1).

507

508 It has become widely accepted that animals experience emotions (de Waal, 2011), but  
509 compassionate conservation is vague on the possibility of individuals from different species  
510 experiencing different levels of suffering. A recent article on compassionate conservation  
511 suggests that the presence of sentience in an animal should be sufficient to give it the status of

512 person, but does not seem to consider sentience as a graded concept (Wallach et al., 2020).  
513 Quantifying the suffering (or negative emotions) experienced by an individual along a one-  
514 dimensional axis requires strong simplification of this complex concept (see Shriver 2006 and  
515 Bermond et al. 2008 for different conclusions about the capacity of animals to experience  
516 suffering). Nonetheless, emotions have been shown to be linked to cognitive processes (Boissy  
517 & Lee, 2014), which differ greatly among species (MacLean et al., 2012), and behavioural  
518 approaches have been used to evaluate emotional responses (e.g. Désiré et al. 2002). We  
519 therefore postulate that such quantification is conceptually feasible in the context of the heuristic  
520 tool presented here. In a utilitarian approach, the inherent value of a species would therefore be a  
521 function of its capacity to experience emotions and suffering, which can be expressed as  $V(E)$   
522 instead of  $V$  in Equation 1.

523  
524 Assuming that quantifying both the emotional capacity of individuals belonging to different  
525 species and the suffering they experience under a given conservation action can be done, the  
526 consequences of this conservation action under the objective of minimising suffering can be  
527 computed as:

528  
529 
$$C = \sum_{\text{species } s} \overline{I(S_s)} \times V(E_s) \times N_s^{a=1} \quad \text{Eq.5}$$

530  
531 where  $\overline{I(S_s)}$  is a function (e.g. mean or maximum) of the suffering experienced by individuals  
532 from species  $s$ , used to assess the impact. Note that the suffering of an individual may be  
533 assessed through a wide variety of proxies, including access to food and water, death, number of  
534 dead kin for social animals, physiological measurements of stress hormones, etc.  $E_s$  is the  
535 emotional capacity of individuals belonging to species  $s$  (assuming uniform intra-specific  
536 emotional capacity for simplification), that allows to assess its value  $V(E_s)$ . Although  $V(E_s)$   
537 should be measured in an objective fashion, many factors may influence the relationship between  
538 the inherent value and the emotional capacity of a species. For example, high empathy (Table 1)  
539 from the observer will tend to make the distribution uniform (therefore in line with the  
540 perspective defended by Wallach et al. 2020), whereas anthropomorphism and parochialism  
541 (Table 1) may lead to higher rating of the emotional capacities of species phylogenetically close  
542 to humans or with which humans are more often in contact, such as pets. Finally,  $N_s$  is the

543 abundance of species  $s$  in the area affected by the management action. Here, we assumed that  $a =$   
544 1, therefore giving equal importance to any individual regardless of the abundance of its species.

545

546 Although compassionate conservation is not a consequentialist approach, we believe the  
547 sentientist Equation 5 aligns with its main tenets. The minimization of suffering and considering  
548 individuals equally irrespective of species abundance encompasses the “do not harm” and  
549 “individuals matter” tenets of compassionate conservation. The “inclusivity” tenet  
550 “acknowledges the intrinsic value of all wildlife individuals and collectives” (Wallach et al.,  
551 2018). However, in the same article, Wallach et al. (2018) reject the notion of collectivism. It is  
552 therefore difficult to clearly identify how inclusivity would be incorporated in Equation 5 due to  
553 the lack of clarity in the definition. Finally, the “peaceful coexistence” tenet, which corresponds  
554 to “critically examine and in many cases modify one’s own practices, rather than pursuing acts of  
555 aggression against wildlife individuals”, is addressed by the evaluation of Equation 5.

556

557 In practice, Equation 5 may be combined with a perspective considering the morality of culling  
558 sentient species ( $M \leq 1$ ), by discussing if one may consider a threshold in total suffering  
559 (quantified by  $C$ ) over which culling is acceptable or not (an issue related to the concept of  
560 “moral residue”; Batavia et al. 2020). This threshold is likely to vary with each person, and we  
561 will focus on the consequentialist perspective in the following.

562

### 563 **Assessing suffering in the presence and absence of conservation management actions.**

564

565 If we assume that the distribution for the emotional capacity  $E$  of individuals from different  
566 species can and has been quantified prior to analyses (Equation 5), the remaining challenge is to  
567 assess the suffering experienced by individuals. The short-term suffering resulting from pain and  
568 directly caused by lethal management actions, such as the use of poison to control invasive alien  
569 species (e.g. McIlroy 1981) or the use of firearms and other mechanical device to cull native  
570 species threatening other native species (e.g. wolves threatening caribous in Canada; Proulx et al.  
571 2016) or humans (e.g. shark attacks; Gibbs and Warren 2015), is the most straightforward type of  
572 suffering that can be assessed, and is usually sought to be minimised in all conservation  
573 approaches. However, impacts can take various forms, and commensurability can be an issue

574 (Table 2). Distinguishing between lethal actions and non-lethal suffering is, in particular, morally  
575 complex. For example, non-lethal suffering can result from unfavourable environmental  
576 conditions (e.g. leading to food deprivation) and occur over long periods, while lethal actions  
577 could be carried out in a quick, non-painful fashion (see the example of the Oostvaardersplassen  
578 nature reserve below, or the use of culling to prevent epidemics, Shao et al. 2018), but may be  
579 deemed immoral ( $M = 0$ ). One of the main criticisms of compassionate conservation is that the  
580 assessment of suffering is restricted to direct relationships, i.e. to the suffering directly caused by  
581 humans to animal individuals, while neglecting lethal and non-lethal suffering resulting from  
582 biotic interactions between non-human species, or indirect interactions through the abiotic  
583 environment.

584  
585 We therefore advocate for a conceptual approach that takes into account indirect consequences of  
586 management actions within a certain timeframe; similarly, uncertainty should be considered  
587 (Table 2). Direct and indirect biotic interactions may be explicitly modelled to quantify the  
588 impact on animals and therefore their suffering. Simulation models can also make projections on  
589 how populations may change in time, therefore enabling to account for future suffering. For  
590 example, absence of management of feral camels in Australia would likely lead to ecosystem  
591 degradation in which the individuals of co-occurring resident species increasingly have  
592 difficulties in finding resources and therefore to increased suffering for these species (Brim-Box  
593 et al., 2010; Edwards, Zeng, Saalfeld, & Vaarzon-Morel, 2010).

594

### 595 **Are traditional conservation and animal welfare compatible?**

596

597 In their response to Wallach et al. (2018), Driscoll & Watson (2019) refer to Soulé's (1985)  
598 normative postulate that "diversity of organisms is good", which differs indeed from the  
599 postulates of compassionate conservation, but without exploring further why diversity of  
600 organisms is good. They then provide examples when traditional and compassionate  
601 conservation would advocate for opposite approaches, conveying the message that the two  
602 approaches are necessarily incompatible. It has nonetheless been argued that sentientism and  
603 ecocentrism are not fully incompatible (Varner, 2011). The relationship between biodiversity and  
604 animal suffering can be formalised more clearly using the traditional conservation and the

605 sentientist Equations 2 and 5, to explore if the same management action can minimize the  
606 consequences evaluated using the two equations (see also Supplementary material S2 for the  
607 application of the framework to theoretical cases). The main difference with the traditional vs  
608 new conservation debate here is that Equations 2 and 5 share a number of species, whereas the  
609 new conservation Equation 3 only contains humans, which are excluded from Equation 2. Even  
610 though the variables of Equation 5 differ from those of Equation 2 ( $V$  and  $I$  are computed  
611 differently, and the value of  $a$  is different), there may be a higher chance that these equations will  
612 vary in similar way for different management actions due to their similar structure. Clarifying  
613 how compassionate conservationists would define the variables of Equation 5 (especially in  
614 terms of the direct and indirect impacts through suffering) would be necessary not only to better  
615 defend this value system, but would also clarify the criticisms of some of their detractors and  
616 could eventually help identify some common ground.

617

618 One issue that may be irreconcilable between traditional conservation and approaches based on  
619 sentientism (besides the moral aspects linked to the culling of sentient animals) is the fate of rare  
620 and endangered species with limited or no sentience. Under utilitarian sentientism, the  
621 conservation of non-sentient species ranks lower (if at all) than the conservation of sentient  
622 species, and consequently they are not included in Equation 5. For example, endangered plant  
623 species that are not a resource for the maintenance of sentient populations (and therefore do not  
624 influence Equation 5, contrary to plants that are resources for a sentient species  $s$  and therefore  
625 influence the value of impact  $I(S_s)$ ) would receive no attention, as there would be few arguments  
626 for their conservation. On the contrary, traditional conservation would focus on their  
627 conservation, as they would have a disproportionate impact in Equation 2, due to low abundance  
628 leading to a high value for  $N^{a < 0}$ .

629

630 Finally, it is important to note that the current body of knowledge shows that the link between  
631 biodiversity and animal welfare mentioned above especially applies to the increase of native  
632 biodiversity. The local increase of biodiversity due to the introduction of alien species (which  
633 may only be temporary due to extinction debt; Kuussaari et al. 2009) often results in reduced  
634 quantity and quality of ecosystem functioning (Cardinale et al., 2012). Therefore, it is important  
635 to distinguish between nativism (criticised by advocates of compassionate conservation), which

636 considers that native species intrinsically have higher value than alien species (Table 1), and the  
637 proven detrimental effects of *invasive* alien species on biodiversity and ecosystem functioning  
638 and services (Bellard, Cassey, & Blackburn, 2016). Nativism would result in shifting native  
639 species to the left regarding the distribution of  $V(E)$  (Figure 1), whereas in the second case,  
640 insights from science on the impact of invasive alien species would modify the distribution  $I(S)$   
641 rather than the distribution  $V(E)$ . This can also apply to native species whose impacts on other  
642 species, such as predation, are increased through environmental changes (Carey, Sanderson,  
643 Barnas, & Olden, 2012).

644

## 645 **UNRESOLVED QUESTIONS AND LIMITATIONS**

646

647 This framework is designed as a heuristic tool to clarify normative postulates, and to  
648 qualitatively evaluate differences in outcomes of alternative decisions. The approach shares  
649 similarities with mathematical approaches used in conservation triage (Bottrill et al., 2008), but  
650 has two crucial differences. First, conservation triage equations use an ecocentric perspective  
651 with relatively easily measurable variables. Bottrill et al. (2008) provided an example using  
652 phylogenetic diversity as a measure of value  $V$ , and a binomial value  $b$  to quantify biodiversity  
653 benefit that can be interpreted as the presence or absence of a species (i.e.  $I = 1 / b$ ). Because it is  
654 ecocentric, local species abundance is not considered, which corresponds to setting  $a = 0$ . In this  
655 example, consequences ( $C$ ) in the general Equation 1 are therefore defined simply by  $V / b$ .

656

657 In contrast, our framework allows much more flexibility to encompass a range of value systems,  
658 as shown above. Given that the data needed for quantifying parameters of Equations 1 to 5  
659 related to value, impact, emotional capacity and suffering are scarce and often very difficult to  
660 measure, this framework in its current form would nonetheless be difficult to use as a  
661 quantitative decision tool to evaluate alternative management actions, contrary to triage  
662 equations. Rather, our equations decompose the question underlying many controversies around  
663 management decisions in conservation: what or who is valued, how, and by how much?

664

665 There are nonetheless a number of approaches that may be used to develop quantification  
666 schemes for the different parameters of the framework. Grading systems may be developed to



667 assess impact and suffering based on various indicators, including appearance, physiology, body  
668 function, and behaviour (Broom, 1988). For assessing the value of different species,  
669 questionnaires may be used to assess how different species are valued by people, and influenced  
670 by their social and cultural background, similar to what has been done to assess species charisma  
671 (e.g. Colléony et al. 2017, Albert et al. 2018). It will nonetheless be important to acknowledge  
672 the corresponding uncertainties in the assessment of impact and value, differences in perception  
673 among societal groups for different taxa and potential shifts in perception over time (Table 2).

674  
675 The second difference from conservation triage is that the latter considers additional criteria that  
676 were not addressed here, including feasibility, cost, and efficiency (including related  
677 uncertainties). The combination of these different perspectives calls for appropriate methods to  
678 include them all in decision making, which can be done using multi-criteria decision analyses  
679 (Huang et al., 2011). Here, good communication and transparency of the decision process is key  
680 to achieve the highest possible acceptance across stakeholders, and to avoid biases in public  
681 perception (see case studies below for examples).

682  
683 The issue of spatial and temporal scale also warrants consideration (Table 2). In the case of a  
684 species that may be detrimental to others in a given location but in decline globally, the spatial  
685 scale and the population considered for evaluating the terms of Equations 1 to 5 will be crucial to  
686 determine appropriate management actions. Similarly, management actions may also result in a  
687 temporary decrease in welfare conditions for animals, which may increase later on (Ohl & Van  
688 der Staay, 2012), or the impacts may be manifested with a temporal lag. In that case, determining  
689 the appropriate time period over which to evaluate the terms of Equations 1 to 5 will not be  
690 straightforward. Impacts may also not have the same importance depending on whether they  
691 occur in the short- or long-term, especially since long-term impacts are harder to predict and  
692 involve higher uncertainty. Discount rates (Table 2) may therefore be applied, in a similar way  
693 they are applied to the future effects of climate change and carbon emissions (Essl, Erb, Glatzel,  
694 & Pauchard, 2018), or to assess the impact of alien species (Essl et al., 2017).

695  
696 Equations 1 to 5 assume that all individuals from a given species have the same value or  
697 emotional capacities (or use the average of the value across individuals). However, there may be

698 intraspecific differences in value, and such variations may be important for conservation. For  
699 example, trophy hunters might prefer to hunt adult male deer with large antlers. Reproductively  
700 active individuals contributing to population growth/recovery may be given a higher value.  
701 Intraspecific value may also vary spatially, for example comparing individuals in nature reserves  
702 or in highly disturbed ecosystems. Equation 1 may therefore theoretically be adapted to use  
703 custom groups of individuals with specific values within species, similar to Equation 3 (although  
704 in Equation 3, impact varied between groups of stakeholders but values were assumed to be the  
705 same).

706  
707 Finally, it is crucial to account for biotic interactions in our framework to comprehensively  
708 assess the indirect impacts of management actions on different species (Table 2). Some species  
709 with low values in a certain value system may have little weight  $V$  in Equation 1, but they may  
710 be crucial for assessing the impact  $I$  on other species, because of pollination, source of food, etc.  
711 These biotic interactions will therefore determine the time frame over which the framework  
712 should be applied, as impacts on one species at a given time may have important repercussions in  
713 the future. These biotic interactions can be complex, and multiple tools, such as simulation  
714 models and ecological network analyses can be used to address them.

## 715 716 **CASE STUDIES ILLUSTRATING ETHICAL CONFLICTS IN** 717 **CONSERVATION DECISIONS**

718  
719 In the following, we present three case studies where conservation actions have either failed, had  
720 adverse effects, or were controversial, and we explore how our framework can shed some light  
721 on these situations.

### 722 723 **Invasive alien species management: the case of the alien grey squirrel in Italy**

724  
725 Invasive alien species management is a common source of conflict between different  
726 stakeholders using different value systems, such as conservationists and animal right activists  
727 (Perry & Perry, 2008). These conflicts can be decomposed into distinct successive phases  
728 characterised by the intensity of the conflict, starting at low intensity in the form of disagreement

729 before escalating as a result of polarisation of opinions, and eventually either reaching a  
730 destructive phase, or de-escalating if conflict management is adequately implemented (Crowley  
731 et al., 2017). Communication and inclusive engagement are key to conflict de-escalation. This  
732 requires the capacity to acknowledge and conceptualise the value systems of the different parties.

733

734 The grey squirrel (*Sciurus carolinensis*) is native to North America and was introduced in  
735 various locations in Europe during the late nineteenth and the twentieth century (Bertolino,  
736 2008). It threatens native European red squirrel (*Sciurus vulgaris*) populations through  
737 competitive exclusion, and is also a vector of transmission of squirrel poxvirus in Great Britain  
738 (Schuchert, Shuttleworth, McInnes, Everest, & Rushton, 2014). Furthermore, it has wider  
739 impacts on woodlands and plantations, reducing value of tree crops, and potentially affects bird  
740 populations through nest predation (Bertolino, 2008).

741

742 Based on the impacts of the grey squirrel, an eradication campaign was implemented in 1997 in  
743 Italy, with encouraging preliminary results (Genovesi & Bertolino, 2001). However, this  
744 eradication campaign was halted by public pressure from animal rights movements. The strategy  
745 of the animal rights activists consisted in (i) humanising the grey squirrel and using emotive  
746 messages (referring to grey squirrels as “Cip and Ciop”, the Italian names of the Walt Disney  
747 “Chip and Dale” characters) and (ii) minimising or denying the effect of grey squirrel on native  
748 taxa, especially the red squirrel (Genovesi & Bertolino, 2001). In addition, the activists did not  
749 mention, (iii) the difference in abundance between a small founding population of grey squirrels  
750 that could be eradicated by managers, and a large population of native red squirrels that would be  
751 extirpated or severely impacted by grey squirrels if control was not implemented.

752

753 Genovesi & Bertolino (2001) explain that the main reason for the failure of the species  
754 management is a different perspective on primary values: the eradication approach was underlain  
755 by species valuation, following traditional conservation, whereas the animal right activists and  
756 the public were more sensitive to animal welfare. However, the application of our framework  
757 reveals some inconsistencies in the animal right activists’ arguments that could have been used to  
758 advocate for the eradication approach. Translating this situation in our framework indicates that  
759 (i) the humanisation of the grey squirrel consists of increasing the perception of its emotional

760 capacity  $E_{gs} > E_{rs}$  (and therefore  $V(E_{gs}) > V(E_{rs})$ ), (ii) minimising the impact of grey squirrel is  
761 equal to restricting the time scale to a short one and to likely minimising the amount of suffering  
762  $S$  caused by grey squirrels on other species, i.e.  $S_{gs} = S_{rs}$  (and therefore  $I(S_{gs}) = I(S_{rs})$ ) without  
763 management and  $S_{gs} > S_{rs}$  (and therefore  $I(S_{gs}) > I(S_{rs})$ ) under management, and (iii) not  
764 mentioning differences in species abundance corresponds to setting  $a = 0$ . Following these three  
765 points, the consequences under management  $C_m = I(S_{gs}) \times V(E_{gs}) + I(S_{rs}) \times V(E_{rs})$  are  
766 higher than without management, due to the increase in  $V(E_{gs})$  and  $I(S_{gs})$ .

767  
768 The framework can thus be used to provide recommendations for what the advocates for the  
769 eradication campaign would have needed to have done: i) increase the value  $E_{rs}$  of red squirrels  
770 in a similar way as what was done for grey squirrels, so that their relative values compared to  
771 grey squirrels would remain the same as before the communication campaign by the animal right  
772 activists; ii) better explain the differences in animal suffering caused by the long-term presence  
773 of the grey squirrel compared to the short-term, carefully designed euthanasia protocol, would  
774 avoid a subjective perception of the distribution of  $S$ ; and iii) highlight the differences in the  
775 number of individuals affected. The consequences would then be computed as  $C = V(E_{gs}) \times$   
776  $I(S_{gs}) \times N_{gs} + V(E_{rs}) \times I(S_{rs}) \times N_{rs}$ . In that case, assuming the same suffering through  
777 euthanasia for grey squirrels vs. other causes caused by grey squirrels for red squirrels, for  
778 simplification, and the same value to individuals of each species (i.e. avoiding nativism), the  
779 mere differences  $N_{rs} > N_{gs}$  in abundance would lead to a higher value of  $C$  without  
780 management. This would be even increased by extending the impacts of grey squirrels to other  
781 species, as mentioned above.

782  
783 A more fundamental issue, however, is that in some value systems it would not be acceptable to  
784 actively kill individuals, even if that meant letting grey squirrels eliminate red squirrels over long  
785 periods of time. This is in essence the deontological viewpoint, and would correspond to setting  
786  $M = 0 / 1$  in Equation 1. The reluctance to support indirectly positive conservation programs is a  
787 common issue (Courchamp et al., 2017). Whether an acceptable threshold for  $M$  could be  
788 determined through discussion would depend, in part, on the willingness of the affected parties to  
789 compromise.

790

791 **De-domestication: the case of Oostvaardersplassen nature reserve**

792

793 De-domestication, the intentional reintroduction of domesticated species to the wild is a recent  
794 practice in conservation that raises new ethical questions related to the unique status of these  
795 species (Gamborg, Gremmen, Christiansen, & Sandoe, 2010). Oostvaardersplassen is a Dutch  
796 nature reserve where two domesticated species of large herbivores (Heck cattle, *Bos primigenius*,  
797 and konik horses, *Equus ferus caballus*) have been ‘rewilded’ in addition to the reintroduction of  
798 the red deer (*Cervus elaphus*) to act as landscape engineers by grazing (ICMO2, 2010). The  
799 populations increased rapidly, as natural predators are missing and population regulation was not  
800 conducted, as a result of a ‘non-intervention-strategy’. The project was widely criticized when a  
801 considerable number of individuals died from starvation during a harsh winter, resulting in the  
802 introduction of population reduction by culling weak animals in order to prevent starvation  
803 (other approaches, such as the reintroduction of large predators were discarded due to lack of  
804 experience and too many uncertainties in efficiency, ICMO2 2010).

805

806 From a traditional conservation perspective, disregarding animal welfare and focusing on species  
807 diversity and ecological restoration, the project was a success. The introduction of the three  
808 herbivore species led to sustainable populations (despite high winter mortality events), and  
809 ensured stability of bird populations without the need for further interventions (ICMO2 2010),  
810 i.e. the conditions of many species were improved (the impact was lowered), leading to lower  
811 consequences  $C$  overall (Equation 2). However, as the general public tends to have a sentientist  
812 perspective (Equation 5), the welfare of individuals from the three charismatic large herbivorous  
813 species became a point of conflict. Interestingly, it appears that the conflict was driven by a shift  
814 in attitude, from considering the herbivore species as a natural way to manage the grasslands to  
815 being part of the ecosystem changed the value  $V_s$ , or by the importance given to their emotional  
816 capacity  $E_s$  (Ohl & Van der Staay, 2012), therefore leading to increasing the consequences  $C =$   
817  $V(E_s) \times I(S_s) \times N_s^1$  under sentientism, with  $S_s$  and  $N_s$  constant. Temporal changes in the  
818 distributions of the  $V$  and  $E$  variables should therefore be taken into account when implementing  
819 conservation management actions, and even monitored through time in a way similar to adaptive  
820 management approaches. Another possible explanation for this shift in attitude is that the notion  
821 of responsibility (Table 2) affected the morality value  $M$  in Equation 1. If culling animals can be

822 considered acceptable in some cases ( $M > 0$ ), it may not be the case if these individuals were  
823 purposefully introduced, leading to a decrease of  $M$ .

824

825 As a result, the reserve management has examined a number of sustainable measures to improve  
826 the welfare of individuals from the three species (therefore decreasing  $S_s$  to compensate the  
827 increase in  $V_s$ ). Among those were recommendations to increase access to natural shelter in  
828 neighbouring areas of woodland or forestry, to create shelter ridges to increase survival in winter  
829 as an ethical and sustainable solution, and to use early culling to regulate populations and avoid  
830 suffering from starvation in winter (ICMO2 2010). This example shows how a combination of  
831 two complementary management actions (the rewilding of the OVP and the provision of shelter)  
832 led to minimised consequences under both the traditional conservation and the sentientist  
833 Equations 2 and 5, whereas only rewilding would increase consequences under Equation 5.  
834 Culling may still face opposition based on moral arguments though.

835

### 836 **Trophy hunting**

837

838 Trophy hunting, the use of charismatic species for hunting activities, has been argued to be good  
839 for conservation when revenues are reinvested properly into nature protection and redistributed  
840 across local communities, but faces criticisms for moral reasons (Di Minin, Leader-Williams, &  
841 Bradshaw, 2016; Lindsey, Frank, Alexander, Mathieson, & Romanach, 2007). The action of  
842 killing some individuals to save others might be incompatible with a deontological perspective,  
843 but, assuming a consequentialist perspective, the framework can be applied to formalise the  
844 assessment of different management options.

845

846 In traditional conservation, trophy hunting is desirable if it directly contributes to the  
847 maintenance of species diversity. The potential of trophy hunting to contribute to the  
848 maintenance of biodiversity is via creating economic revenues, i.e. an anthropocentric  
849 perspective, and it therefore falls under the umbrella of new conservation. In theory, trophy  
850 hunting should lead to the increase of both the traditional and new conservation (Equations 2 and  
851 3), and therefore affect both segments of the ‘people and nature’ Equation 4, as they are in this  
852 case not independent from each other (Lindsey, Roulet, & Romanach, 2007). Many social and

853 biological factors currently affect the efficacy of trophy hunting as a conservation tool.  
854 Corruption and privatisation of the benefits have sometimes prevented the revenues to be  
855 reinvested into conservation, but also to be redistributed across local communities, whereas  
856 doing so has been shown to increase their participation in conservation actions with proven  
857 benefits for local biodiversity (Di Minin et al., 2016). In other words, a decrease in the first  
858 anthropocentric term of equation 4 leads to a decrease in the second econcentric term too. In  
859 addition, trophy hunting can lead to unexpected evolutionary consequences (Coltman et al.,  
860 2003), overharvesting of young males (Lindsey, Frank, et al., 2007), and disproportionate  
861 pressure on threatened species (Palazy, Bonenfant, Gaillard, & Courchamp, 2011, 2013, 2012)  
862 and therefore to population declines and potential detrimental effects on biodiversity, i.e. in the  
863 second component of Equation 4. Despite these issues, it has been argued that banning trophy  
864 hunting may create replacement activities that would be more detrimental to biodiversity (Di  
865 Minin et al., 2016).

866  
867 From an animal welfare perspective, trophy hunting appears to be in direct contradiction with a  
868 decrease in animal suffering, and has been criticised by proponents of compassionate  
869 conservation (Wallach et al., 2018). However, as for the culling of invasive alien species, we  
870 suspect the story is more complex. To our knowledge, there have not been many studies  
871 comparing the welfare of individual animals to quantify the elements of the sentientist Equation  
872 5 (for example assessed through access to resources) in areas where trophy hunting is practiced  
873 and where it is not (we are not considering canned hunting here, the practice of farming animals  
874 for the specific purpose of being hunted). Given the links between biodiversity and animal  
875 welfare described above, it seems plausible that good practice in trophy hunting may benefit the  
876 welfare of individuals from other and from the same species. There may also be direct benefits if  
877 money from trophy hunting is reinvested in protection measures against poaching. However,  
878 more precise quantifications would be needed when incorporating the morality  $M$  of hunting in  
879 the equation, and especially the notion of threshold (i.e. how much improvement to all other  
880 individuals is necessary to consider trophy hunting acceptable).

881

## 882 **CONCLUSIONS**

883



884 A variety of value systems exist in conservation, which are based on different underlying  
885 normative postulates and can differ between stakeholders, resulting in differing preferences for  
886 conservation practices among people. Here, we have proposed a framework with a formal set of  
887 equations to conceptualize and decompose these different perspectives. In this framework, the  
888 different value systems supported by different conservation approaches follow the same  
889 structure, but can differ in the variables that are used, and in the values they are taking. While  
890 such formalisations by necessity do not capture the full range of complex and nuanced real-world  
891 situations in environmental decision-making, they provide a method to make their underlying  
892 value systems and the resulting conflicts explicit and transparent, which is essential for the  
893 planning and implementation of pro-active management. The search for consensus in  
894 conservation can be counter-productive and favour status-quo against pro-active management  
895 (Peterson, Peterson, & Peterson, 2005), however our framework may help identify hidden  
896 commonalities between seemingly antagonistic stances. We hope that, by doing so, this  
897 framework can foster debates on contested conservation issues, and will ultimately contribute to  
898 a broader appreciation of different viewpoints. In an increasingly complex world shaped by  
899 human activities, this is becoming ever more important.

900

901

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911

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1160 **Tables**

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1162 **Table1.** Glossary of terms as they are used for the purposes of this paper.

<b>Term</b>	<b>Definition</b>
Anthropocentrism	Value system that considers humans to be the sole, or primary, holder of moral standing, and therefore the concern of direct moral obligations. Non-human species are considered only to the extent that they affect humans (Rolston III 2003; Palmer <i>et al.</i> 2014).
Anthropomorphism	“The attribution of human personality or characteristics to something non-human, like an animal, object, etc.” (Oxford English Dictionary).
Biocentrism	Value system considering all living beings as the concern of direct moral obligations (Rolston III 2003; Palmer <i>et al.</i> 2014).
Collectivism	Value system in which a group or collective has a higher value than the individuals that compose it (Wallach <i>et al.</i> 2018).
Compassionate conservation	Conservation approach inspired by virtue ethics based on four tenets: i) do no harm; ii) individuals matter; iii) inclusivity (the value of an individual is independent from the context of the population, e.g. nativity, rarity, etc.); and iv) peaceful coexistence (Ramp & Bekoff 2015; Wallach <i>et al.</i> 2018).
Consequentialism	“An ethical doctrine which holds that the morality of an action is to be judged solely by its consequences” (Oxford English Dictionary).
Deontology	A normative ethical theory considering that “choices are morally required, forbidden, or permitted” (Alexander & Moore 2016).
Ecocentrism	Value system considering that species, their assemblages and their functions, as well as more broadly ecosystems, rather than individuals, are the concern of direct moral obligations (Rolston III 2003; Palmer <i>et al.</i> 2014).
Empathy	“The quality or power of projecting one's personality into or mentally identifying oneself with an object of contemplation, and so fully

	understanding or appreciating it.” (Oxford English Dictionary). Empathy will influence the inherent value given to individuals from other species.
Impact (for the purposes of the framework, Eq.1)	Impact refers to any effect that modifies the well-being, health or resilience (for non-sentient beings) of an individual, from physical pain to emotional suffering and death (these notions being interrelated, but not equivalent).
Invasive alien species	“Plants, animals, pathogens and other organisms that are non-native to an ecosystem, and which may cause economic or environmental harm or adversely affect human health” ( <i>Regulation (EU) No 1143/2014 of the European Parliament and of the Council of 22 October 2014 on the prevention and management of the introduction and spread of invasive alien species</i> ).
Moral community	The group of beings considered to have intrinsic moral value (Shoemaker 2010). The size of the group depends on the value system. For example, the moral community is restricted to humans in case of Anthropocentrism.
Nativism	Value system considering that species that have evolved in a given location have a higher value in this location than species that have evolved somewhere else. In nativism, value varies spatially (Wallach <i>et al.</i> 2018).
Nature despite people	Management conceptual approach aiming at conserving biological diversity (focusing on species and habitats) specifically in response to human impacts on the environment, e.g. sustainable use (Mace 2014).
Nature for itself	Management conceptual approach aiming at conserving biological diversity (focusing on wilderness and natural habitats) through human exclusion, for example through the creation of parks and protected areas (Mace 2014).
Nature for people	Management conceptual approach aiming at conserving the components of nature beneficial to humans (focusing on ecosystems and their services) (Mace 2014).

Neoteny	“The retention of juvenile characteristics in a (sexually) mature organism” (Oxford English Dictionary).
New conservation	Discipline aiming at preserving biological diversity through the conservation of natural elements providing services and contribution to human wellbeing (Kareiva & Marvier 2012; Kareiva 2014).
Normative postulate	Value statements that make up the basis of an ethic of appropriate attitudes toward other forms of life (Soulé 1985).
Parochialism	Ideology in which moral regard is directed “towards socially closer and structurally tighter targets, relative to socially more distant and structurally looser targets”, and, by extension, to species phylogenetically, cognitively, or in appearance closer to humans (Waytz <i>et al.</i> 2019).
People and nature	Management conceptual approach considering that humans and nature are interdependent and therefore aiming at achieving compromises in the conservation of nature and human wellbeing. (Mace 2014).
Sentience	The ability to experience phenomenal consciousness, i.e. the qualitative, subjective, experiential, or phenomenological aspects of conscious experience, rather than just the experience of pain and pleasure (Allen & Trestman 2017).
Sentientism	Value system considering sentient beings as the concern of direct moral obligations (Rolston III 2003; Palmer <i>et al.</i> 2014).
Speciesism	Value system in which some species are considered to have a higher value than others, for various possible reasons (Singer 2009). Speciesism is often used to refer to the superiority of humans, which is a specific expression of speciesism as considered in this paper.
Suffering	Negative emotion, sometimes called emotional distress, experienced by sentient beings, and which can result from different causes, including but not limited to physical pain (Dawkins 2008; Farah 2008).
Traditional conservation	Discipline aiming at preserving biological diversity through the management of nature, and based on four value-driven normative postulates: “diversity of organisms is good,” “ecological complexity is

good,” “evolution is good,” and “biotic diversity has intrinsic value”  
(Soulé 1985). Traditional conservation is rooted in ecocentrism.

Virtue ethics

Ethical doctrine that emphasizes the virtues, or moral character as the  
reason for action (Hursthouse & Pettigrove 2018).

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1164 **Table 2.** List of factors to consider regarding the effects of environmental management actions  
1165 from an environmental ethics perspective.

<b>Factor</b>	<b>Influence on variables and outputs in Equations 1 to 5</b>
Biotic interactions	The impact or suffering of individuals from one species can be caused by individuals from another species, either through direct or indirect interactions. Management actions can therefore also have non-trivial indirect impacts on some species.
Capacity to provide ecosystem services	The presence of species may increase the welfare of other animal species through the ecosystem services they provide. Since these effects can be difficult to quantify explicitly, the value of such species may be increased in Equations 1 to 4 to account for them, or an additional term can be included, as in Equation 5.
Discounting rate	Rate at which impacts that occur in the future lose importance.
Impact quantification and commensurability	How the impacts of management actions are quantified is also dependent on value systems, as some impacts (such as death) may be considered incommensurable to others (such as suffering).
Responsibility from previous actions	Previous human actions on certain species, such as reintroduction of domesticated species or the introduction of alien species can change the perception of the public and therefore increase the value attributed to these species, or decrease the morality of an action, in addition to obviously having an impact on these species.
Spatial scale	The spatial scale will change the abundance $N$ and the number of species considered. As a result, a management action that is more beneficial than another at small scale may not be such at a larger scale, and reciprocally.
Temporal scale	The time frame over which the impact or the suffering of individuals is computed can change their values. Management actions may decrease welfare of individuals on the short term, but be beneficial on the long term once the ecosystem has stabilised. Similarly, not culling some population may cause less suffering on the short term, but increase it in the future by disrupting ecosystem



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	services, leading to population collapse due to lack of resources, etc.
Uncertainty of impact	The complexity of an ecological system can make the assessment of the impact of management actions on different species difficult to assess precisely, therefore creating potential errors, especially in the presence of multiple biotic interactions. This may lead to an incorrect estimation of the outcome $O$ .
Uncertainty of value expressions and preferences	Quantifying the value given by a person or a group of people to an individual is difficult, context-dependent, and highly subjective. Sensitivity analyses on the distribution of values can be used to account for such uncertainty.

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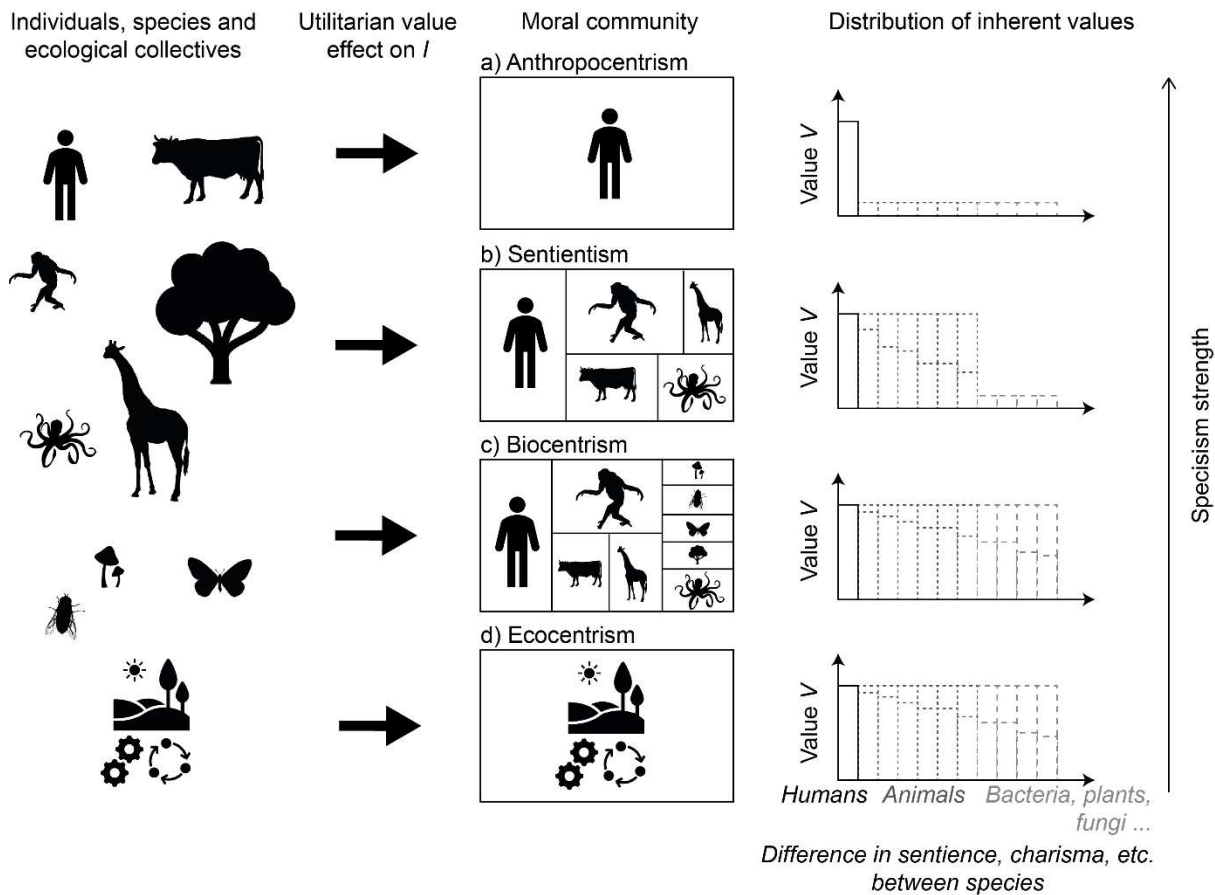
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## Figure



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1172 **Figure 1.** Difference between value systems influenced by a) anthropocentrism, b) sentientism,  
 1173 c) biocentrism and d) ecocentrism. Anthropocentrism, sentientism and biocentrism all value  
 1174 individuals inherently, but consider different moral communities, i.e. their values depend on the  
 1175 category of species they belong to, with  $\{\text{humans}\} \in \{\text{sentient beings}\} \in \{\text{all living organisms}\}$ .  
 1176 Ecocentrism, in contrast, is not based on individuals, but on ecological collectives, i.e. on species  
 1177 or on species assemblages and ecosystems. Species outside of the moral community may have a  
 1178 utilitarian value for species in the moral community (represented by the arrows), which will be  
 1179 reflected by changes in the impact variable. Note that species can have both an inherent and a  
 1180 utilitarian value. Within the moral community, species may have equal inherent values, but  
 1181 subjective perceptions and different value systems can assign different values to different  
 1182 species. The skewness of the value distribution then indicates the degree or strength of

1183 speciesism with respect to the species of references, assumed here to be the human species, and  
1184 is influenced by many factors, including charisma, cultural context, etc.